Municipality

The mayor and his team of experts have selected one slum for implementation of a pilot project. They have prioritized three streets in that area where the upgrading will start. This will entail that street lighting will be provided, streets will be widened and paved, thereby stimulating mixed use along the streets. These streets will be connected and integrated with the neighbouring areas.

It is the secret intention of the government to make pollution control rules stricter after the upgrading so that these workplaces will have to go. Therefore they first try to push the leather tanners, potters and coppersmiths to the area close to the industrial zone, to then later on push them outside Ambedkar Nagar to the industrial zone.

The municipal government’s budget is limited. Calculations have been made regarding the widening and paving of the streets as proposed, providing the street lighting, putting waste collection bins and demolishing houses for creating open spaces; and a budget for this can be put in next year’s financial plan. However, no money for resettlement has been anticipated. The idea is to provide the people to be resettled with land elsewhere in the city – the exact location has not yet been determined. Various options are possible: the municipality holds a small area of land close to the city centre big enough for various families if they build vertically. Furthermore, there are large parts of land in the periphery, however they are far from the city centre and not serviced. In order to avoid free-riders, the municipal government suggests to only compensate those who were registered during the mapping exercise.

It is important that the final proposal is not more expensive than the proposed one, since the municipal government is not willing to put in more money and does not want to raise expectations that cannot be met.

You usually connect to the community through the community leader and the group of elders, a well-respected group within the community.

Deputy Head of the Municipal Secretary

The mayor has entrusted you with being the local government’s representative for these negotiations. He expects you to reach an agreement so that the case of Ambedkar Nagar can
be used as a success story. You do not want to be out of favour by the mayor as you are hoping for a promotion.

You are not in favour of more trees but instead are convinced that more parking spaces will stimulate commercial activities in the area and also wider roads that will stimulate traffic to flow.

After the final outcome of the negotiations has been presented by the community leader in the afternoon, you decide whether to go ahead or pull out of the proposal. You have your fellow municipal colleagues to help you with this decision.
Municipality

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Assistant to the Deputy Head of the Municipal Secretary

You are a ‘friend’ of Mr Das; therefore you spy for him and try to manipulate decisions taken to be in favour of your friend. You need to discuss secretly with Mr Das in order to find out what his preferences are and then try to manipulate the other players in your team. In return you will receive some money from Das.
Municipality

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Urban Planner

You think it is important to have green, open spaces to stimulate interactions and have a lively public space. You agree that the copper smiths, tanners and potters are creating a lot of nuisance, but are unsure whether the plan to move them all to one area as proposed now is

Upgrading Ambedkar Nagar through the Street-led Approach
viable. Where will people who are currently living in this area go to? And are the buildings that are there now suitable for these activities? Furthermore, you have noticed that public toilets have not been incorporated in the proposal, but even though you think they are important, you have so far kept silent about it because you know that the government budget is limited.

As the acting mayor, the Deputy Head of the Municipal Secretary is higher in the hierarchy so you should not contradict him in front of others.
Municipality

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Community Mobilizer

As a municipal officer, you have to support the government proposal. However, as a community mobilizer who has long worked with underprivileged neighbourhoods, you are also concerned about the interests of the community, particularly of the poor.
Improved roads can stimulate investments in housing, triggering increasing rent, leading to gentrification and the poor being pushed away. The de facto tenure security resulting from the infrastructure improvements simultaneously contributes to a significant increase in property value which could lead to people selling off. You are worried that after implementation of the project, residents will sell off their newly acquired housing and move into other slum areas.

You were involved in the mapping exercise that the municipal council has carried out and are aware that not all residents have been included in the registration, so that they might not be eligible for compensation when resettled.

As the acting mayor, the Deputy Head of the Municipal Secretary is higher in the hierarchy so you should not contradict him in front of others.
Community leader & elders

You are the community leader and a group of senior citizens who are highly respected within the community. You get along together well. You are long-term residents in the neighbourhood and know it well. You know most of the people, although tenants nowadays move in and out so quickly that it is hard to get to know them. One of the very influential people in the neighbourhood is Mr Das, a shop owner who has been very successful. He has managed to expand his shop over various buildings, outcompeting the others shops in the area. You know him as a very ambitious person who will do anything to become more successful.

People are poor in your community, so it would be good if the municipality can fund the neighbourhood’s upgrading. You have been participating in the mapping exercise together with the community mobilizer, and together with a team of volunteers have done a house-by-house survey. Unfortunately, some people were not at home at the time of the survey so there are no data about these houses. Also, you suspect that information about tenants – who often live on the first floor – has not always been registered. All in all though, the process went well, and three streets have been identified for upgrading. This will entail that street lighting will be provided, streets will be widened and paved, thereby stimulating mixed use along the streets. These streets will be connected and integrated with the neighbouring areas.

There are a lot of informal entrepreneurs in the neighbourhood, including copper smiths, leather tanners and potters. They create quite some nuisance, mostly air and sound pollution, but they also ensure an income and allow men to sustain their families. Perhaps creating more parks/green spaces, as the environmentalists and the women’s group seem to want, can help in countering this? On the other hand, the shop owners seem to be more in favour of having parking spaces rather than parks.

You worry about people having to resettle because of the project, especially the home owners who have been in the area for a long time and with whom you have a good relationship. They have worked long and hard to get where they are currently and you are concerned that the social ties will suffer or even be cut entirely.

Community leader

You are the community leader and you are also the chairperson of the negotiations. You are responsible for reaching an agreement at the end of the negotiations. If there is no agreement, both
among the residents and on the side of the municipality, the municipality will pull out and not provide any funds at all. It is therefore important to come up with a proposal that is good for the residents but also in the interest of the municipality.

You are very respected in the community and you know that. You also respect others. Even the youth in the area respect you, but you know that senior citizens group have a difficulty in accepting their direct and different approaches. You have invited one of the youngsters to join the negotiations. You still need to tell your assistants, the elders. You know they might not like it, but according to you they should just accept it; it is not a big deal.

You keep a distance from Mr. Das. You know he is powerful and a dominant shop-owner and he has put pressure on others to push his plans through. You encourage everybody to be strong and you do not appreciate any form of manipulation.
Community leader & elders

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Senior citizen - 1

You support the community leader in his tasks. He is not the youngest anymore (but the same applies to you), so you should take care that he does not take on too much responsibility.

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You think it is important that things do not change too much. Change is good, but it is changing too quickly lately. You think that the community leader is wonderful in balancing all the interests, you highly respect it. You want to help him in this process. But he should realize that things were better before and that all the quick changes are not good for the neighbourhood.

You are very much respected by the residents who live in the settlement for a long time. But the tenants do not know you that well and you do not know them. You also have heard that some young people have some ideas, but you do not know what they are. You do not care. They are wild and not polite. You are not interested in their plans. As long as they do not show respect to you, why should you care about them and their ideas? As if they know what is good for the area; they are just born two decades ago or even less…
Community leader & elders

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Senior citizen - 2

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Upgrading Ambedkar Nagar through the Street-led Approach
Community leader & elders

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Senior citizen - 3

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You are very much respected by the residents who live in the settlement for a long time. But the tenants do not know you that well and you do not know them. You also have heard that some young people have some ideas, but you do not know what they are. You do not care. They are wild and not polite. You are not interested in their plans. As long as they do not show respect to you, why should you care about them and their ideas? As if they know what is good for the area; they are just born two decades ago or even less.
Residents of the area

You are a resident living in Ambedkar Nagar and together with others have been indicated to represent the community in the negotiation process. You will prepare the process together with other residents and you need to choose one of you who will sit at the table during the negotiation process.

You are representing residents who are home-owners. Naturally, since the government proposal has quite a few demolitions of houses, you worry about your own house but also the houses of your fellow residents. Some of the houses have a workplace or shop in their house. These people especially are dependent on the livelihoods that their house provides, therefore should not have their house demolished. You oppose displacement and demolition in general, and demand just compensation where it cannot be avoided. You lobby for residents of the area to be involved in the implementation of the project.

There have been rumours that not all houses and their owners were properly registered, so that these families might not be eligible for compensation, but this has not been confirmed.

You have great respect for the community leader and the elders; they have been living in the neighbourhood for a long time and know most of its residents.

Homeowner without workplace or shop

In general, most homeowners without a workplace or shop make a living somewhere in the slum. Others go outside as daily labourers. A few are from middle income groups that could not find affordable housing in the city, but they work outside Ambedkar Nagar, mainly in government jobs.

You want to avoid displacement and resettlement, but in case resettlement is unavoidable you want to be resettled close to the upgraded road or close to the market so you can easily transport your goods and sell them there.
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Homeowner with shop

You represent people who have grocery shops, textile workplaces etc. in their house. They want to be connected to the upgraded main road and they also lobby for smaller roads to be connected to the main upgraded road. Furthermore they want street lighting to be installed throughout the area so that women can go out for shopping in the evening. Most importantly, you oppose resettlement: many businesses operate from the house, how could they continue when resettled?
Residents of the area

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Unregistered homeowner

During the mapping process that the government carried out, you were visiting your sick father and thus were not in Ambedkar Nagar. All houses in the neighbourhood have blue dots painted on them, which were put there during the mapping. Your house does not have the blue dot, therefore you are worried that it has not been registered by the municipality. Moreover, on the map with the government’s proposal, your house has been indicated as leather tanners’ area. You are very worried that you will be displaced without any compensation.


**Residents of the area**

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**Tenant**

You represent the tenants of the area. You have had to fight to be included in the negotiation process, since as tenants your voice is often not heard. You respect the community leader and the elders, but since tenants are moving in and out constantly, the relationship between the community leader and the tenants is not very strong. You are worried that he might favour the home-owners.

Many tenants rent from structure owners inside the area, but there is also a considerable amount of absentee landlords – some of which are rumoured to be big politicians. There is a high mobility among tenants, with people continuously moving in and out of the area. In fact, some of the representatives in your group have only recently moved to Ambedkar Nagar.

As tenants, you want to be resettled within the area and secretly hope that your landlords will be deprived of their property. Also, you hope to gain tenure security once resettled. However, during the mapping exercise, only residents that were living on the ground floor were registered. Since the majority of plots have more than one story, which often is rented out, 30-40% of the tenants have not been registered and therefore it is unclear whether they are eligible for compensation.

You lobby for residents of the area to be involved in the implementation of the project as day labourers.
Upgrading Ambedkar Nagar through the Street-led Approach
Private sector

You are representatives of the private sector. There are different groups of entrepreneurs; however, you are invited by the municipality as one group and can only speak with one voice. You all want more parking spaces instead of open/green spaces.

You have great respect for the community leader and the elders; they have been living in the neighbourhood for a long time and know most of its residents. You also maintain good contacts with the press – you know how media can influence public opinion and you want to ensure that what they publish is in your favour.

Representatives of the cooperative of coppersmiths, potters and leather tanners

You represent the cooperative of the coppersmiths, potters and leather tanners. In general, they live above their workplace. Currently, workplaces are spread throughout the area, with a larger concentration closer to the market area where they sell their products, and close to the hardware shops where they buy their equipment. So you want to remain where you are.

The government proposal is confusing to you because it proposes coppersmiths, potters and leather tanners to move – but it has remained silent on how this would materialize. The areas where to move to, in the north of Ambedkar Nagar, have houses with occupants and are not necessarily equipped to have workplaces for your activities. You are aware that the workplaces are creating pollution, but are of the opinion that concentrating them all together will increase the nuisance. Moreover, the proposed location is more or less the furthest away possible from the market and hardware shops, therefore very inconvenient.

You are afraid the municipality will enforce stricter pollution control after upgrading.
Private sector

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Representatives of the shops

You represent the shop keepers. This group consists of owners of vegetable shops, teashops, tiffin shops, butchers, tailors; they mostly operate from their homes, spread throughout Ambedkar Nagar.

Your shop is located in the west of the settlement. Mr. Das has approached you to join forces in order to change the road to be upgraded. For all the shops on the west side, he argued, rather than upgrading the road on the east side, it would be better to upgrade the street that passes his shopping mall. A better road on the west side would mean more traffic to come through your side of the settlement and therefore increased business opportunities. However, for this road to be upgraded at least one shop-owner has to be displaced and resettled.

Furthermore, you want to limit the number of people who have to be displaced and resettled altogether as the more density around your shop the better.

You want to stop the expansion of Mr Das as he is taking over too much of your business.
Private sector

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Representative of the small restaurants and bars

You represent the cooperative of the small restaurants and bars. In general, restaurant and bar owners live next to or on top of their workplace. Currently, there are many restaurants and small bars spread throughout the area, with a larger concentration closer to the market. They are informal and not even mentioned in any of the maps. You can easily reach places that you need for your supplies. You have many customers since you are in a busy and lively area – close to Mr. Das – and you want to remain where you are.

For you the parking places are interesting, but actually anything that assures customers is fine for you.

You know Mr Das is the informal leader of the private sector representatives. You accept it, as long as he cares about your interest. You are also afraid he might make life difficult for you if you do not support him.
Private sector

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Mr Das

You are a very big shop-owner. Your shop covers two plots. You intimidated your neighbour until he accepted to be bought out and left, after which you expanded your shop. Next to and opposite your house there are two unoccupied plots that you would like to convert into parking spaces for your customers. Also you want the road in front of your shop to be selected for upgrading. This road will improve the connection to the shopping malls which will then also generate more customers for your shop. For this road to be upgraded at least one shop-owner has to be displaced and resettled.

You try to manipulate the small shop-owners in the western part of Ambedkar Nagar to vote in favour of your plan as you tell them by this road the accessibility to their shops will also improve. You might also get support from the representative of the small restaurants and bars since his restaurant is located close to your shop.

You are well connected with some politicians outside and the Assistant to the Deputy Head of the Municipal Secretary is your ‘friend’; if he successfully lobbies for your road to be upgraded, you will pay him a good amount of money.
Women’s group

You are representatives of a women’s group in Ambedkar Nagar. Some of you are homeowners, some are tenants. Some of you have been living in the neighbourhood for a long time and are settled, having a husband and children. Among the representatives, there is a single woman who migrated to the city in order to work in the garment industry. One of you sells vegetables at the market, another works as domestic servant in the middle-income area in the east. Then there is also a women’s activist who is not living in Ambedkar Nagar but who is supporting you in your quest for spatial justice from a women’s perspective.

As the women’s group, you are worried that when upgrading the roads, traffic will increase; therefore you want to be involved in the selection of streets and want safety audits to improve the security. You want speed limits, more green and playgrounds for children. Public toilets are important to you – for when you are outside with the children; for the market women selling their merchandise at the market; and for improved hygiene in general. And in order to reduce harassment in the dark alleys at night, you are in favour of more street lighting.

However, you are worried that eventually, improved roads will lead to investments in housing, triggering increasing rent, leading to gentrification and the poor being pushed away. Therefore, security of tenure is important. In case you have to resettle, you are afraid that your husbands will not take into account access to shops, facilities, schools, etc. when deciding where to be relocated and will not negotiate enough for fair compensation.

You would like to have a road connecting Ambedkar Nagar with the middle income residential area in the east as many women in the women’s group work there as domestic servants. Currently, you have to make a big detour to reach there.

Women’s group representative

You are the representative of the women’s group. You are a single mother and came to the city in order to work in the garment industry to be able to provide for your children. You are open minded and interested to discuss your ideas with the environmental group and the innovative youngsters. You also simply care very much about the women from your group and about children, they deserve a better living environment.

You rely on your fellow women’s group members to come up with strategies.
Women’s group

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Women’s group strategizer

You are the part of the women’s group and support the representative with ideas. You are smart, but never had the chance to study. However, you are full of passion and ideas and you always can come up with a solution. You like to think with people who are creative and you dream of setting up your own business rather than working as domestic worker for others.
**Women’s group**

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**Women’s group – vegetable sales woman**

You are part of the women’s group and support the representative with ideas. You sell vegetables in the market. You are interested in vegetables and also would like to grow them yourself as much as possible, preferably within Ambedkar Nagar.
Upgrading Ambedkar Nagar through the Street-led Approach
**Women’s group**

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**Women’s group – activist**

Even if you do not live in Ambedkar Nagar, you are part of the women’s group. You work at the University in the city centre, where you do research and teach about spatial justice. You do not only want to study and talk about it, you want to be engaged in action. As a volunteer you joined the women’s group to support them to give them advice and to put spatial justice in practice. You are convinced: spatial justice can only materialize if we have a gender sensitive approach!

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Upgrading Ambedkar Nagar through the Street-led Approach
Team and participant roles – confidential
Environmental group

You are the representatives of a group of inhabitants who are concerned with the environment in the settlement. You want more trees to be planted – including in the streets that will be upgraded – and parks to be constructed and you lobby for space for urban agriculture.

You want to get the workplaces of the potters, coppersmiths and leather tanners out of the neighbourhood because of air and noise pollution. You want to obtain the vacant land around Mr Das’ shop to be converted into a park; however you know that Mr Das wants this land for the expansion of his shop and the creation of a parking space in front of his shop.

You lobby for members of the environmental group to be involved in the implementation of the project, for example in the creation of the parks.

You have great respect for the community leader and the elders; they have been living in the neighbourhood for a long time and know most of its residents.

Environmental group representative

You are the representative of the environmental group. You are open minded and interested to discuss your ideas. You think that the municipality is not very advanced in terms of sustainability thinking and too concerned with business interest only. You want to make allies with the youngsters and with the women's group since they seem the only ones who also really care about opposing the parking spaces.
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**Environmental group - lobbyist**

You are lobbying on behalf of the environmental group. You want to make sure that others now that your group exists and that sustainability is the basis of really everything. Without an earth, no life, simple as that. You like going around and making sure others get aware of the importance of the environment and also appreciate its beauty.
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Environmental group - gardener

You love gardening and think that urban green makes a city liveable. You want to do anything to make sure that there are some green spaces preserved. You want to make everybody aware about how wonderful gardening is; it is good for the earth, for the city, but also for every human being!
Innovative youngsters – Food for Empowerment

Recently a young entrepreneurial and innovative group has formed itself in Ambedkar Nagar. Since all of you are very bright, you have managed to get a scholarship and now you study at your city’s university. You do various studies including economics, urban planning, agricultural production and sociology. As you travel together to university, you started to discuss about your own neighbourhood. Recently the university offered a minor on Food Security, open to students from all disciplines. It focuses on challenges and solutions regarding food security in both urban and rural areas. You cannot stop talking and thinking about it.

You have now formed a group that wants to make Ambedkar Nagar productive in terms of food in order to enhance food security. You want to use rooftops, open spaces and anything possible to grow food. You are thinking of opening a restaurant in the neighbourhood with locally produced food. You are not interested in working with the established powers; they are stuck in their thoughts and only care about themselves and about making money and more money. You care about sustainability and social and spatial justice. It is not that you have anything against collaboration, but you are simply not going to waste energy on it. You prefer to get in touch with the groups that have similar agendas like the women’s group and the environmental group.

Leader and mobilizer

You are a 21-year-old economy student. You brought the group together; you mobilized them. You have also managed to get in touch with the community leader and you will get a space at the negotiation table.

You really want to make everybody believe how important and powerful food is, you want to influence others. You know you need your innovative fellow youngster, an urban planner, to support you and to bring in good ideas.
Team and participant roles – confidential

Upgrading Ambedkar Nagar through the Street-led Approach
Innovative youngsters – Food for Empowerment

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Innovator

You have the best ideas in your group and your leader needs you very much. You are not much interested in any of the politics, you just like to think about making plans better. You are studying urban planning, you are the best in your batch. You are only 20 years old but already almost finishing your 3rd year of studies and you are fascinated by the idea of the right to the city and green cities; in the theme of food for empowerment this all comes together. You just find it fascinating and so much is possible.
Innovative youngsters – Food for Empowerment

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Member – agriculture student

You are 22 years old, you are studying agriculture and you love gardening. You like the enthusiasm of your peers about social issues, you care about them, but you are mostly a technical person.
Innovative youngsters – Food for Empowerment

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Member – housing student

You are 23 years old and studying housing policy. You see that housing is about much more than having a shelter, it is about being part of a community and having access to services that you need. You care about social and spatial justice and about justice in terms of access to housing. You are worried that many people will have to be resettled and wonder how this process will be designed. You see the added value of focusing on food as you believe it adds value to housing and having access to good food can empower people.
Journalists

You are journalists who have heard about the negotiations on the neighbourhood upgrading in Ambedkar Nagar. You have also heard rumours that some people will have to be resettled and that there is unrest among the local population about the project. You will not get involved in the negotiations but report on them from an outsider perspective. However, you might be able to influence the process from outside by strategically communicating information. You can use different types of channels for your communication – press announcements on television, one-to-one information, social media, etc. Think about what you want to communicate and to whom.

You are also expected to closely and critically observe and monitor the negotiation process itself, both as your role as a journalist and as an observer of the game. Pay attention to how/whether teams and individuals prepare, interact, negotiate, engage, communicate (verbally and non-verbally), agree within their team, find coalitions, listen, make concessions, are open to find solutions, etc.

Journalist 1

You are a journalist from a respected news channel; your goal is to provide people with objective, accurate and impartial information. You care greatly about ethics and always seek to triangulate the information you get. With regards to the neighbourhood upgrading project of Ambedkar Nagar, you want to dig out the details of the project since there is a lot of unclarity, especially regarding possible resettlement and compensation, and have a variety of (representative) voices heard about the project.
Upgrading Ambedkar Nagar through the Street-led Approach
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Journalist 2

You work for a local tabloid, which cares mainly about the number of copies sold. Therefore, the juicier the story, the more likely people will be interested in buying the newspaper. It doesn’t matter if these are facts or just rumours; if there is an interesting story, you want to publish it. For example, someone has told you that some of the elders are putting pressure on the community leader to favour certain groups in the neighbourhood. Also, there are rumours of corruption and bribery. And how about all these social justice warriors? They urgently need to wake up to the real world.

If you cannot find any interesting facts, perhaps you can make up a story by yourself? Nowadays, fake news is everywhere.
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