Slum Upgrading
Fundamentals of Urbanization
Surabaya, Indonesia
12-16 Nov 2018
About Informal Urbanization
What indicator best measures informal settlements

- % of housing built informally without building permit: 5
- % of buildings not in compliance with building codes: 0
- % of land occupied not in compliance with master plan: 2
- % of people living on land occupied irregularly without documentation/title: 4
- % of population that lives in poor housing conditions and insecure tenure over the land they occupy: 5
- None of the above: 0
MDG 7 on 'improving the quality of life of 100 millions slum dwellers' adopted a universal definition of slums, defined as:

- A household living in a settlement deprived of water and sanitation: 2
- A household living in an overcrowded house built with precarious/provisionary materials: 0
- A household that lives on a parcel of land for which he/she has no security of tenure: 0
- A group of people living in poor housing built on land illegally occupied and without infrastructure: 5
- A household living in inadequate housing, deprived of adequate water and sanitation, without security of tenure: 11
The MAIN cause of informal settlements and slums (Maximum 2 answers)

- Poverty: 14
- Lack of affordable housing: 7
- Rural urban migration: 3
- Scarcity of serviced land: 2
- Costly/Unaffordable urban/building regulations: 1
- House price-to-income ratio is very high: 3
- Lack of housing finance: 2
- Tolerance of governments: 1
Where are slums/informal settlements located in your city?

- Mostly in inner city: 7
- Spread throughout the city: 0
- Mostly in periphery: 0
- Mostly along the water courses: 8
- Mostly on land subject to flooding: 2
- There is no slum in my city: 0
What would you say about the percentage of your city's urbanized land occupied by slums/informal settlements?

- Less than 20%: 10
- Between 20-30%: 6
- Between 30-40%: 0
- Between 40-50%: 0
- More than 50%: 1
What would you say about the % of population living in slums/informal settlements in your city:

- Less than 20%: 10
- Between 20-30%: 5
- Between 30-40%: 1
- Between 40-50%
- More than 50%
Does the master plan of your city proposes solutions for slums/informal settlements?

- Yes: 13
- No: 3
- I don't know: 0

16 responses
What are the current policy of your city government towards slums/informal settlements? (Possible to choose maximum of 2 options)

- Improve access to basic infrastructure: 16
- Upgrade and integrate slums into the city: 2
- Regularize land tenure: 3
- Relocate to new housing/new serviced plots: 11
- Empower residents to find solutions with seed capital by government: 3
- No specific policy in place: 1
What are the MOST critical impact of slums/informal settlements in your city (Choose maximum 2 choices)

- Exclusion and segregation from formal markets: 1
- Occupation of inadequate for habitatio: 2
- Consolidation of informal housing & land markets: 1
- Spatial exclusion and geography of urban poverty: 1
- Exclusion of management systems: 2
- Poor health, informal jobs, education, marginality: 14
- Environmental and social vulnerability: 15
- Inequality reinforced: 0